

VIP Services: (888) 855-9856 | info@trustprovident.com  
8880 W. Sunset Rd., Suite 250, Las Vegas, NV 89148

## Section 1

### Account Owner Information

First Name	MI	Last Name
<input type="text"/>	<input type="text"/>	<input type="text"/>
Email	Last Four Digits of Social Security Number	
<input type="text"/>	<input type="text"/>	
Account Number	Accepting Account Type	
<input type="text"/>	<input type="checkbox"/> Individual 401(k) <input type="checkbox"/> Qualified Retirement Plan	

## Section 2

### Previous Custodian Information

#### Previous Custodian Account Type

- Traditional IRA     SEP IRA     SIMPLE IRA     Eligible Employer-Sponsored Retirement Plan  
 Eligible Employer-Sponsored Retirement Plan with Designated Roth Account

If this is a rollover from an eligible employer-sponsored retirement plan, complete the following information.

#### I am the (Select one)

- Plan Participant     Surviving Spouse Beneficiary     Nonspouse Beneficiary of Plan Participant  
 Alternate Payee of Qualified Domestic Relations Order

#### Type of Distributing Plan

- Qualified Retirement Plan (IRC Sec. 401(a))     Annuity Plan (IRC Sec. 403(a))  
 Tax-Sheltered Annuity Plan (IRC Sec. 403(b))     Governmental Deferred Compensation Plan (IRC 457(b))

## Section 3

### Rollover Requirements (To be an eligible rollover, all statements for the rollover type below must be true.)

#### Rollover from a Traditional, SEP, or SIMPLE IRA

- I received the assets from the distributing IRA or eligible employer-sponsored retirement plan within the last 60 days.  
 This rollover contribution does not contain a required minimum distribution.  
 This rollover contribution consists only of pretax assets.  
 This rollover contribution does not contain any substantially equal periodic payments.

If this is a rollover from a SIMPLE IRA, the following statement also must be true.

- More than two years have passed since the first contribution to my SIMPLE IRA

#### Rollover from an Eligible Employer-Sponsored Retirement Plan

- This rollover contribution does not contain a required minimum distribution.  
 This rollover contribution does not include any amounts that are part of a series of substantially equal periodic payments over my life expectancy or a period of 10 years or more.  
 This rollover contribution does not include any funds or property other than the funds or property I received from the distributing plan (and/or proceeds from the sale of distributed property).  
 This rollover contribution does not include any amounts which constitute a distribution due to hardship.

If the assets are not payable directly to the receiving plan, the following statement also must be true.

- I received the assets from the distributing plan within the last 60 days.

**Rollover of Designated Roth Account Assets from an Eligible Employer-Sponsored Retirement Plan** (complete the following)

The amount of my rollover contribution attributable to Roth elective deferrals is \$

The amount of my rollover contribution attributable to earnings on Roth elective deferrals is \$

The first year in which I made a Roth elective deferral to the plan from which my rollover contribution is being made was

**Section 4 Rollover Amount**


I hereby irrevocably designate this contribution in cash and/or property as a rollover contribution.

Asset Description (i.e. Cash Balance, Property Address, Policy ID, etc.)	Cash Amount Rolled Over	Fair Market Value of Asset Rolled Over
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

**Section 5 IRA Owner Agreement and Authorization**

I have read and understand the rollover rules and conditions on both pages of this form and certify that I have met the requirements for making a rollover contribution. All information provided by me is true and correct and may be relied on by the custodian. I assume full responsibility for this rollover contribution and will not hold the custodian liable for any adverse consequences that may result. I hereby irrevocably designate this contribution in cash and/or property as a rollover contribution.

Account Owner Signature  Account Owner Name (Please type or print)  Date

 **Congratulations! You are now able to submit your completed request.**  
Before you submit this form please review all completed information prior to signing. When ready, mail, email, or fax this completed form to:

**Mail to:** Provident Trust Group  
8880 W. Sunset Rd., Suite 250  
Las Vegas, NV 89148

**Email to:** [deposits@trustprovident.com](mailto:deposits@trustprovident.com)

**Fax to:** (702) 253-7565

## Rules and Conditions Applicable to Rollovers

### TRADITIONAL IRA OR SIMPLE IRA TO EMPLOYER PLAN ROLLOVER (Option One)

#### 1. Timeliness

The funds you receive from the distributing IRA must generally be deposited into the employer plan within 60 days after you receive them. However, this period is 120 days for certain rollovers relating to first-home purchases. When counting the 60 (or 120) days include weekends and holidays. The IRS has the authority to grant extensions to the 60 (or 120) day rule in cases where a hardship occurs (e.g., casualty, disaster, etc.) or you may use the self-certification procedure. Receipt generally means the day you actually have the funds in hand. For example, the 60 days would begin on the day following the day you pick up the check from the Trustee or Custodian or you receive the check in the mail.

#### 2. RMD Rollover Restriction

If this rollover is being made during or after the year for which you are required to begin receiving distributions, you cannot roll over any distribution to the extent that it is a required minimum distribution from the distributing plan.

#### 3. Nondeductible Amounts

You may not roll funds that you have contributed to your IRA for which you did not receive a tax deduction (after-tax dollars).

#### 4. Substantially Equal Periodic Payments

If you are taking substantially equal periodic payments from your IRA, these distributions are not able to be rolled to an employer plan.

#### 5. SIMPLE IRA Rollover Restriction

A SIMPLE IRA may be rolled over to an eligible employer plan provided two years have passed since you first participated in your employer's SIMPLE salary reduction arrangement.

### EMPLOYER PLAN TO EMPLOYER PLAN ROLLOVER (Option Two)

#### Rollover Requirements

**Required Minimum Distributions** – Distributions which represent required minimum distributions paid during a participant's first distribution calendar year or later may not be rolled over.

**Substantially Equal Periodic Payments** – For purposes of determining an eligible rollover distribution, substantially equal periodic payments are defined as a series of substantially equal distributions made not less frequently than annually and calculated

- 1) over the life (or life expectancy) of the individual or the joint lives (or life expectancies) of the individual and the individual's beneficiary or
- 2) for a specified period of 10 years or more.

**Property Distributions** – If property other than cash is distributed, only the same property or the proceeds from its sale may be rolled over. If you receive property but wish to roll over cash, you must actually sell the property and roll over the proceeds.

**Hardship Distributions** – Distributions taken on account of financial hardship are not eligible to be rolled over.

**Timeliness** – The funds you receive from the distributing plan must be deposited in an eligible employer plan within 60 days after you receive them, excluding the day of receipt. When counting the 60 days include weekends and holidays. Receipt generally means the day you actually have the funds in hand.